

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Otto PREISS, et al.

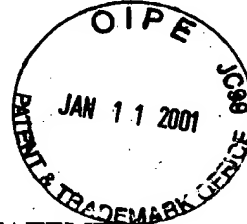
Application No.: 09/698,234

Filed: October 30, 2000

For: INTEGRATION OF A FIELD DEVICE  
IN AN INSTALLATION CONTROL  
SYSTEM

Group Art Unit: 2836

Examiner: Unassigned



INFORMATION DISCLOSURE STATEMENT  
TRANSMITTAL LETTER

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

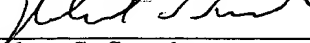
- ☒ No additional fee for submission of an IDS is required.
- ☐ The fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ Charge \$\_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due.
- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed for the fee due.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

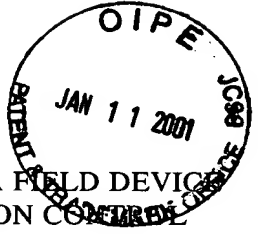
P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(703) 836-6620

By:   
Robert S. Swecker  
Registration No. 19,885

Date: Jan. 11, 2001

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of )  
 )  
Otto PREISS, et al. ) Group Art Unit: 2836  
 )  
Application No.: 09/698,234 ) Examiner: Unassigned  
 )  
Filed: OCTOBER 30, 2000 )  
 )  
For: INTEGRATION OF A FIELD DEVICE )  
IN AN INSTALLATION CONTROL )  
SYSTEM )  
 )



**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited are enclosed.

German Patent No. 196 24 929, issued January 2, 1998 (English Abstract Attached);

H.J. Roesler, "Der Feldbus Waechst Zum Systembus, Profibus-DP In Der Neuen Simatics7/M7," Elektronik, De, Franzis Verlag GMBH, dated Otober 20, 1995, pp. 52, 54, 61-66;

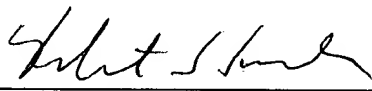
J. Haude, "IEC Standard 61850," Vew Energie AG Work Shop, dated January 21, 1999, pp. 1-22.

The documents are being submitted within 3 months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later, therefore no fee or certification is required under 37 C.F.R. § 1.97(b).

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By:   
Robert S. Swecker  
Registration No. 19,885

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(703) 836-6620

Date: Jan. 11, 2001

ABB Research Ltd  
ZÜRICH / SWITZERLAND

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**



In re application of

Otto PREISS; Dominik AUF DER MAUER

(inventors)

claiming Priority under 35 U.S.C. 119

of application No.: 99810986.2

in: EUROPE

with filing date of: 01/NOVEMBER 1999

U.S. Serial Number:

U.S. filing date:

Title: Integration of a field device in an installation control system

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

Honorable Commissioner of Patents and Trademarks, Washington, D.C. 20231

Sir:

Submitted herewith is a Search Report/Examination Report issued in a corresponding foreign patent application by the

European Patent Office (X)

German Patent Office ( )

( )

1. We wish to make of record the certain citations listed in the Search Report/Examination Report in accordance with 37 CFR 1.97.

2. The relevance of each listed item with regard to the different claims of the above-identified application according to the opinion of the Search Authority/Examination Authority, is indicated in the Search Report/Examination Report

by reference to certain categories which are explained on the Report sheet or in MPEP on page 1800 - 55, resp. (x)

3. The claims as filed in the above-identified U.S. patent application

correspond to ☒ (x)

are different from ☐ ( )

the claims for which the Search Report/Examination Report has been established.

4. Copies of the references cited are enclosed herewith.

All citations ☒ (x)

All relevant citations except U.S. patents ☐ ( )

5. English translations of pertinent portions of any non-English references are enclosed herewith, if such translation is readily available.

6. No copies are enclosed herewith of references which are characterized by an "A" in the Search Report/Examination Report, since "A" indicates that such reference is not relevant for the invention. ☐ ( )

Additionally, copies of the references cited in the specification are enclosed. ☒ (X )

Respectfully submitted,

Date: 02th October 2000

by: Markus Müller

Markus Müller